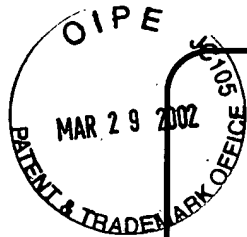


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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|   |                             |                 |
|---|-----------------------------|-----------------|
| <b>Application Number</b>                       | 09/353,670                  |                 |
|   | <b>Filing Date</b>          | 07/15/1999      |
|   | <b>First Named Inventor</b> | George D. Prell |
|   | <b>Group Art Unit</b>       | 1623            |
|   | <b>Examiner Name</b>        | Wilson, J.      |
| <b>Attorney Docket Number</b>                   | AP31817                     |                 |
| <b>Total Number of Pages in This Submission</b> |                             |                 |

**ENCLOSURES (check all that apply)**

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <b>Remarks</b> <input type="checkbox"/>  |   |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                                |  |   |
|--------------------------------|--|---|
| <b>Firm or Individual name</b> | BakerBotts LLP<br>30 Rockefeller Plaza<br>New York, NY 10112 |   |
| <b>Signature</b>               | <i>Carmella L. Stephens</i>                                  | <b>Att Name:</b> Carmella L. Stephens<br><b>PTO Reg:</b> 41,328 |
| <b>Date</b>                    | 03/25/2002   |   |

**CERTIFICATE OF MAILING**

|   |                             |                        |
|---|-----------------------------|------------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 03/25/2002 |                             |                        |
| <b>Typed or printed name</b>  | Carmella L. Stephens        |                        |
| <b>Signature</b>  | <i>Carmella L. Stephens</i> | <b>Date</b> 03/25/2002 |



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|  |  |                          |                 |
|--|--|--------------------------|-----------------|
| <b>FEE TRANSMITTAL</b><br><b>for FY 2001</b><br><br><i>Patent fees are subject to annual revision.</i> |  | <b>Compleat if Known</b> |                 |
|  |  | Application Number       | 09/353,670      |
|  |  | Filing Date              | 07/15/1999      |
|  |  | First Named Inventor     | George D. Prell |
|  |  | Examiner Name            | Wilson, J.      |
|  |  | Group Art Unit           | 1623            |
| <b>TOTAL AMOUNT OF PAYMENT (\$)</b> 460  |  | Attorney Docket No.      | AP31817         |

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **02-4377**

Deposit Account Name: **Baker Botts LLP**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

☒ Check    ☐ Credit card    ☐ Money Order    ☐ Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description        | Fee Paid      |
|-----------------------|-----------------------|------------------------|---------------|
| 740                   | 370                   | Utility filing fee     |               |
| 330                   | 165                   | Design filing fee      |               |
| 510                   | 255                   | Plant filing fee       |               |
| 740                   | 370                   | Reissue filing fee     |               |
| 160                   | 80                    | Provisional filing fee |               |
| <b>SUBTOTAL (1)</b>   |                       |                        | <b>(\$)</b> 0 |

**2. EXTRA CLAIM FEES**

Total Claims:  - 20 \*\* =  0 X  =  0

Independent Claims:  - 3 \*\* =  0 X  =  0

Multiple Dependent:  X  =

**Fee from below**

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  | Fee Paid      |
|-----------------------|-----------------------|--|---------------|
| 18                    | 9                     | Claims in excess of 20                                     |               |
| 84                    | 42                    | Independent claims in excess of 3                          |               |
| 280                   | 140                   | Multiple dependent claim, if not paid                      |               |
| 84                    | 42                    | ** Reissue independent claims over original patent         |               |
| 18                    | 9                     | ** Reissue claims in excess of 20 and over original patent |               |
| <b>SUBTOTAL (2)</b>   |                       |  | <b>(\$)</b> 0 |

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

| Large Entity Fee (\$)     | Small Entity Fee (\$) | Fee Description  | Fee Paid        |
|---------------------------|-----------------------|--|-----------------|
| 130                       | 65                    | Surcharge - late filing fee or oath  |                 |
| 50                        | 25                    | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 130                       | 130                   | Non-English specification  |                 |
| 2,520                     | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |                 |
| 920*                      | 920*                  | Requesting publication of SIR prior to Examiner action                     |                 |
| 1,840*                    | 1,840*                | Requesting publication of SIR after Examiner action                        |                 |
| 110                       | 55                    | Extension for reply within first month                                     |                 |
| 400                       | 200                   | Extension for reply within second month                                    |                 |
| 920                       | 460                   | Extension for reply within third month                                     | 460             |
| 1,440                     | 720                   | Extension for reply within fourth month                                    |                 |
| 1,960                     | 980                   | Extension for reply within fifth month                                     |                 |
| 320                       | 160                   | Notice of Appeal   |                 |
| 320                       | 160                   | Filing a brief in support of an appeal                                     |                 |
| 280                       | 140                   | Request for oral hearing   |                 |
| 1,510                     | 1,510                 | Petition to institute a public use proceeding                              |                 |
| 110                       | 55                    | Petition to revive - unavoidable   |                 |
| 1,280                     | 640                   | Petition to revive - unintentional   |                 |
| 1,280                     | 640                   | Utility issue fee (or reissue)   |                 |
| 460                       | 230                   | Design issue fee   |                 |
| 620                       | 310                   | Plant issue fee  |                 |
| 130                       | 130                   | Petitions to the Commissioner  |                 |
| 50                        | 50                    | Processing fee under 37 CFR 1.17(q)  |                 |
| 180                       | 180                   | Submission of Information Disclosure Stmt                                  |                 |
| 40                        | 40                    | Recording each patent assignment per property (times number of properties) |                 |
| 740                       | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |                 |
| 740                       | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |                 |
| 740                       | 370                   | Request for Continued Examination (RCE)                                    |                 |
| 900                       | 900                   | Request for expedited examination of a design application                  |                 |
| Other fee (specify) _____ |                       |  |                 |
| <b>SUBTOTAL (3)</b>       |                       |  | <b>(\$)</b> 460 |

\*Reduced by Basic Filing Fee Paid

|                     |                             |                                   |                |
|---------------------|-----------------------------|-----------------------------------|----------------|
| <b>SUBMITTED BY</b> |                             | <b>Complete (if applicable)</b>   |                |
| Name (Print/Type)   | Carmella L. Stephens        | Registration No. (Attorney/Agent) | 41,328         |
| Signature           | <i>Carmella L. Stephens</i> | Telephone                         | (212) 408-2539 |
|                     |                             | Date                              | 03/25/2002     |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.